



PATENTS
CF/007R

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Stuart A. Fraser et al.
Application No.: 09/859,661 Confirmation No.:3933
Filed : May 17, 2001
For Reissue of
Patent No. : 5,905,974
Issued : May 18, 1999
For : AUTOMATED AUCTION PROTOCOL PROCESSOR
Group Art Unit : 2161
Examiner : James W. Myhre

New York, New York
September 4, 2002

Commissioner for Patents
P.O. Box 2327
Arlington, VA 22202

TRANSMITTAL LETTER

Sir:

Transmitted herewith: ☒ a Second Preliminary
Amendment; ☐ a Reply to Office Action; ☒ Corrected
Drawings (in duplicate); ☒ a Letter to Official
Draftsperson; to be filed in the above-identified patent
application.

FEE FOR ADDITIONAL CLAIMS

☒ A fee for additional claims is not required.
☐ A fee for additional claims is required.

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GROUP 3600

The additional fee has been calculated as shown below:

CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEES
TOTAL CLAIMS	- * =	0	X \$ 18 =	\$ 0.00
INDEPENDENT CLAIMS	- ** =	0	X \$ 84 =	\$ 0.00
FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM			+ \$280 =	\$ 0.00

* If less than 20, insert 20. TOTAL \$ 000.00

** If less than 3, insert 3.

[] A check in the amount of \$ _____ in payment of the filing fee is transmitted herewith.

[X] The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

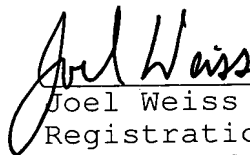
[] Please charge \$ _____ to Deposit Account No. 06-1075 in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.

EXTENSION FEE

[] The following extension is applicable to the Response filed herewith; [] \$110.00 extension fee for response within first month pursuant to 37 C.F.R. § 1.17(a)(1); [] \$400.00 extension fee for response within second month pursuant to 37 C.F.R. § 1.17(a)(2); [] \$960.00 extension fee for response within third month pursuant to 37 C.F.R. § 1.17(a)(3); [] \$1440.00 extension fee for response within fourth month pursuant to 37 C.F.R. § 1.17(a)(4).

[] A check in the amount of [] \$110.00; [] \$400.00; [] \$960.00; [] \$1440.00; in payment of the extension fee is transmitted herewith.

- [X] The Director is hereby authorized to charge payment of any additional fees required under 37 C.F.R. \$ 1.17 in connection with the paper(s) transmitted herewith, or to credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.
- [] Please charge the [] \$110.00; [] \$400.00; [] \$960.00; [] \$1440.00; extension fee to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

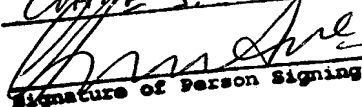

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I Hereby Certify that this
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Case 10022-1002
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Arlington, VA 22202 on September 4, 2002

Charles J. Somers - USA, Houston


Signature of Person Signing

11/7/02
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Arlington, VA 22202

LETTER TO OFFICIAL DRAFTSPERSON

Sir:

Please approve the following amendments of FIGS. 1 and 4, which are indicated in red ink on the attached copies of sheets 1 and 6 of the drawings, so that corrected formal drawings can be filed:

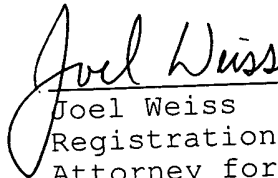
FIG. 1, please add reference numeral 15 and a lead line to the lines connecting workstations 10 and server 20.

FIG. 4, please add reference numeral 400 and lead line to the "bid offer state" oval; and

add reference numeral 420 and several lead lines to the arrows connecting the five trading state ovals.

The reasons for these amendments are set forth in
a Second Preliminary Amendment filed concurrently herewith.

Respectfully submitted,



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